



EW 2655

THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Philipp H. Schmid et al.

Appln. No.: 09/759,474

Filed : January 12, 2001

For : METHOD AND APPARATUS  
UTILIZING SPEECH GRAMMAR  
RULES WRITTEN IN A MARKUP  
LANGUAGE

Docket No.: M61.12-0321

Group Art Unit: 2655

Examiner: Opsasnick,  
M.

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-1450, THIS

11<sup>th</sup> DAY OF May, 2005  
*Robert M. H.*  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO  
Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of  
the patents or publications cited are enclosed.

TIME OF FILING

The information disclosure statement is being filed:

1. \_\_\_\_\_ with the application or within three months of the  
filing date of the application or date of entry into  
the national stage of an international application or  
before the mailing date of a first Office action on  
the merits, whichever event occurs last. In  
accordance with 37 C.F.R. § 1.97(b), no statement or  
fee is required.
2.   x   after the time period specified in paragraph 1 above,  
but before the mailing date of a final action under 37  
C.F.R. § 1.113 or notice of allowance under 37 C.F.R.  
§ 1.311. Therefore, in accordance with 37 C.F.R. §  
1.97(c), submitted herewith is:

(check either A or B below)

05/13/2005 SSESHE1 00000045 09759474

180.00 DP

01 FC:1806

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
  - B. ☒ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

       No fee is required.

  x   Attached is a check in the amount of \$180.00.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.


Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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TMM:sew

FORM PTO-1449	Atty. Docket No.: M61.12-0321	Appl. No.: 09/759,474
<p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> 	First Named Inventor:	
	Philipp H. Schmid	
	Filing Date	Group Art:
	January 12, 2001	2655

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	AA					
	AB					
	AC					
	AD					

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AE					
	AF					

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AG	Brown, Michael and Wilpon, Jay, "A Grammar Compiler for Connected Speech Recognition," IEEE Transactions on Signal Processing, Vol. 39, No. 1, January 1991, pp. 17-28.
AH	
AI	
AJ	
AK	
AL	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.